



1772

ATTORNEY'S DOCKET NO. V0077/7119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grant Kenji Larsen
Serial No: 09/676,548
Filed: September 29, 2000
For: SURFACE STRUCTURE AND METHOD OF MAKING, AND
ELECTROSTATIC WAFER CLAMP INCORPORATING
SURFACE STRUCTURE

Examiner: unk.
Art Unit: 1772

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

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Sir:

Transmitted herewith is/are the following document(s):

- [x] Information Disclosure Statement
- [x] Form PTO-1449 and References
- [x] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

The fee of \$0 may be charged to Deposit Account No. 50-0896. If the fee is insufficient, the balance may be charged to Deposit Account No. 50-0896. A duplicate of this sheet is enclosed.

Respectfully Submitted,

I HEREBY CERTIFY THAT THIS
CORRESPONDENCE IS DEPOSITED WITH THE
UNITED STATES POSTAL SERVICE AS FIRST
CLASS MAIL IN AN ENVELOPE ADDRESSED TO:
ASSISTANT COMMISSIONER FOR PATENTS,
WASHINGTON, DC 20231, ON

MAY 21, 2001

DATE

William R. McClellan

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William R. McClellan
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Docket No. V0077/7119
May 21, 2001
xndd

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ATTORNEY'S DOCKET NO: V0077/7119WRM
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Grant Kenji Larsen
Serial No: 09/676,548
Filed: September 29, 2000
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Art Unit: 1772

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 21 day of May, 2001.

William R. McClellan
William R. McClellan, Reg. No. : 29,409

Commissioner for Patents
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

A. This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

The following are remarks concerning the other information cited:

**PART IV: Remarks**

A copy of each of the above-identified information is enclosed unless otherwise indicated in the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Grant Kenji Larsen, Applicant(s)

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Docket No. V0077/7119WRM
Dated: May 21, 2001

FORM PTO-1449(Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO.: V00777/119WRM	SERIAL NO.: 09/676,548
	APPLICANT: Grant Kenji Larsen	
	FILING DATE: September 29, 2000	GROUP: 1772

U.S. PATENT DOCUMENTS

Exam Init	Ref Des	Document No.	Date	Name	Class	Sub Class	FILING DATE If Appropriate
		5,452,177	9/95	Frutiger	361	234	
		5,969,934	10/99	Larsen	361	234	
		4,535,835	8/85	Holden			
		4,139,051	2/79	Jones et al			
		4,282,924	11/81	Faretra			
		4,832,781	5/89	Mears	156	345	
		4,457,359	7/84	Holden	165	80.2	
		5,528,451	6/96	Su	361	234	
		4,645,218	2/87	Ooshio et al	279	1M	
		5,384,682	1/95	Watanabe et al	361	234	
		5,560,780	10/96	Wu et al	118	728	
		4,184,188	1/80	Briglia	361	234	
		4,480,284	10/84	Tojo et al	361	234	
		5,606,485	2/97	Shamoulian et al	361	234	
		4,938,992	7/90	Mears	427	38	
		5,636,098	6/97	Salfelder	361	234	
		5,631,803	5/97	Cameron et al	361	234	
		5,634,266	6/97	Sherstinsky et al	29	825	
		5,644,467	7/97	Steger et al	361	234	
		5,103,367	4/92	Horwitz et al	361	234	
		5,583,736	12/96	Anderson	361	234	
		5,812,362	9/98	Ravi	361	234	
		5,345,999	9/94	Hosokawa	165	80.2	

FOREIGN PATENT DOCUMENTS

Country & Doc. No. (11)	Pub. Date (43)		Class	Sub Class	Translation Yes No
EP 0 780 885 A2	6/97	Applied Materials, Inc.			

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.